

Prepared from
Form PTO-1390Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)

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Attorney's Docket No.:	GK-ZEI-3075	
U.S. Application No.:	09/462961	
International Application No.:	PCT/EP99/03070	
International Filing Date:	MAY 5, 1999	5 MAY 1999
Priority Date Claimed:	MAY 18, 1998	18 MAY 1998
Title of Invention:	ILLUMINATION ARRANGEMENT FOR A STEREO MICROSCOPE (Beleuchtungsanordnung für ein Steromikroskop)	
Applicant(s) for (DO/EO/US):	Johannes KNOBLICH	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 [] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 [] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
 [] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 [X] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
 b) ☐ has been transmitted by the international Bureau
 c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 [] 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)] **TO FOLLOW**
 [] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
 b) ☐ have been transmitted by the International Bureau
 c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 d) ☐ have not been made and will not be made
 [] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
 [] 9. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **TO FOLLOW**
 [] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. below concern other document(s) or information included:

- [X] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
 [] 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
 [] 13. ☐ A **FIRST** preliminary amendment
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
 [] 14. A substitute specification
 [] 15. A change of power of attorney and/or address letter
 [X] 16. (other items or information) **PCT.RO/101, GERMAN TEXT as filed, PCT/RO/105, PCT/ISA/210, PTO-1449 and 4 cited references.**

EXPRESS MAIL No.: EM 165 843 873 US

Deposited: January 14, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.


 /Ruth Montalvo Date: January 14, 2000

U.S. Application No. (if known, see 37 C.F.R. 1.50):
 International Application No.: PCT/EP99/

Attorney's Docket No: GK-ZEI-3075

CALCULATIONS PTO USE ONLY

[X] 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

[X]	Search Report has been prepared by the EPO or JPO.....	\$	840.00
[]	International preliminary examination fee paid to USPTO [37 CFR 1.482].....	\$	670.00
[]	No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)].....	\$	690.00
[]	Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO.....	\$	970.00
[]	International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4).....	\$	96.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$ 840.00

Claims

Number
filed

-20
-03

Number
extra

Rate

Total Claims
Indep. Claims

19

x \$ 18. =
x \$ 78. =

[] Multiple Dependent Claim(s) (if applicable)

+ \$260. =

TOTAL OF ABOVE CALCULATIONS:

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from
the earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

\$ 840.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be
filed. [Note 37 CFR 1.9, 1.27, 1.28]

\$.00

SUBTOTAL:

\$ 840.00

Processing fee of \$130.00 for furnishing the English Translation later than [] 20 [] 30 months
from the earliest claimed priority date [37 CFR 1.492(f)]

TO FOLLOW

\$ 0.00

TOTAL NATIONAL FEE:

\$ 840.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be
accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

\$.00

TOTAL FEE(S):

\$ 840.00

AMOUNTS TO BE
REFUNDED OR CHARGED

REFUNDED
CHARGED

\$
\$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

[X] Check in the amount of \$ 840.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to
charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)
or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gerald H. Kiel, Esq.

McAulay Nissen Goldberg Kiel & Hand, LLP

261 Madison Avenue

New York, NY 10016-2391

Gerald H. Kiel

Name (Tel. (212) 986-4090)

Signature

25.116
Reg. No.

January 14, 2000
Date

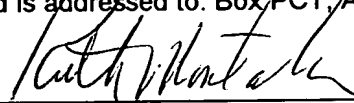
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430 Rec'd PCT/PTO 14 JAN 2000

EXPRESS MAIL No.: EM 165 843 873 US

Deposited: January 14, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT, Assistant Commissioner for Patents, Washington, DC 20231



/ Ruth Montalvo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: GK-ZEI-3075

Applicant(s): Johannes KNOBLICH

Serial No.: (Nat'l Ph. of PCT/EP99/03070 filed 5MAY99)

Filed:

For: ILLUMINATION ARRANGEMENT FOR A STEREO MICROSCOPE
(Beleuchtungsanordnung für ein Steromikroskop)

National Phase of PCT Application No. PCT/EP99/03070 filed 5 MAY 1999

TRANSMITTED HERewith IS THE FOLLOWING

- X 1. Transmittal Letter to the United States Designated/Elected Office (PTO-1390);
- X 2. Information Disclosure Statement, Search Report (PCT/ISA/210) dated September 6, 1999, PTO-1449 with 4 references;
- X 3. PCT/RO/105 dated June 11, 1999;
- X 4. Request (PCT/RO/101) with German language text as filed;
- 5. **TO FOLLOW** - English language text;
- 6. **TO FOLLOW** - Executed Declaration/POA;
- 7. **TO FOLLOW** - PTO-1595 and Assignment;
- X 8. Check in the amount of \$ 840.00

The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.

Respectfully submitted,



Gerald H. Kiel - Reg. No. 25,116

GHK:ram